

FORM PTO-1390
(REV 1-88)ATTORNEY DOCKET NUMBER
0263TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.51)

TBA

09/914641

INTERNATIONAL APPLICATION
PCT/JP00/01160INTERNATIONAL FILING DATE
29 February 2000 (29.02.00)PRIORITY DATE CLAIMED
01 March 1999 (01.03.99)TITLE OF INVENTION
LONG-TERM STABILIZED FORMULATIONS

APPLICANT(S) FOR DO/EO/US

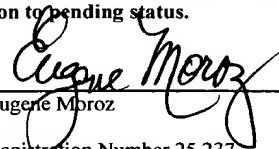
Yasushi SATO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371 (b) and PCT Articles 22 and 39 (1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith.
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (executed)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included.

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or Information:
Copies of the following:
PCT Request - Four (4) Sheets with verification of translation
Check in the amount of \$1,400.00
Notification Concerning Submission of Transmittal of Priority Document
1st page of Published Application W0 00/51629
Translation of International Preliminary Examination Report (5 pages)
Notification Informing Applicant of the Communication of the International Application to the Designated Offices.
Notification Concerning Elected Offices Notified by Their Election.
Verified certificate of express mail No.: EL762622809US
Return postcard.

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.53) TBA 09/914641		INTERNATIONAL APPLICATION NO. PCT/JP00/01160		AT PCT'S DOCKET NO. 0263-4051	
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO... \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2) paid to USPTO..... \$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33 (1) - (4)..... \$690.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1) - (4)..... \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY	
Surcharge of \$130 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$--	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	30- 20 =	10	X \$18.00	\$ 180.00	
Independent claims	7 - 3 =	4	X \$80.00	\$- 320.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00		
TOTAL OF ABOVE CALCULATIONS =				\$1,360.00	
Reduction of ½ for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$ 0.00	
SUBTOTAL =				\$1,360.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$--	
TOTAL NATIONAL FEE =				\$1,360.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00	
TOTAL FEES ENCLOSED				\$1,400.00	
				Amount to be refunded:	\$
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$1,400.00 cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 13-4500 in the amount of \$0.00 to cover the above fees. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-4500, ORDER NO. 0263-4051. A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Morgan & Finnegan LLP 345 Park Avenue New York, NY 10154-0053 Telephone: 212-758-4800 Telecopier: 212-751-6849					
				 Eugene Mbroz Registration Number 25,237	

Docket No. 0263-4051

IN THE UNITED STATES

☐ RECEIVING OFFICE (RO/US)
☒ DESIGNATED OFFICE (DO/US)
☒ ELECTED OFFICE (EO/US)

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INTERNATIONAL APPLICATION NO. PCT/JP00/01160	INTERNATIONAL FILING DATE 29 February 2000 (29.02.00)	PRIORITY DATE CLAIMED 01 March 1999 (01.03.99)
TITLE OF INVENTION LONG-TERM STABILIZED FORMULATIONS		
APPLICANT(S) Yasushi SATO		

Commissioner for Patents
Box PCT
Washington, D.C. 20231
Attention: DO/EO/US

VERIFIED CERTIFICATION OF EXPRESS MAILING DATE
(INTERNATIONAL APPLICATION (37 CFR 1.10(c)))

I declare that on 30 August 2001 I deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Label Number EL762622809US, addressed to the "Commissioner for Patents, Box PCT, Washington, D.C. 20231, Attention: DO/EO/US" and having an express mail certification which I executed, the following papers:

International application, consisting of:

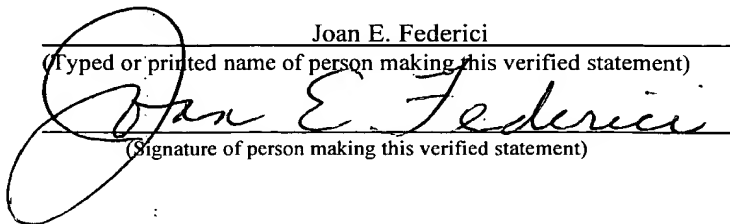
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Translation of International Preliminary Examination Report (5 pages)
Notification Informing Applicant of the Communication of the International Application to the Designated Offices.
Notification Concerning Elected Offices Notified by Their Election.
Executed Declaration and Power of Attorney
Specification 30 pages, claims 4 pages and 1 page abstract, three sheets of drawings
Transmittal letter to US Designated/Elected Office Concerning Filing under 35USC 371
Assignment & Recordation (3 pages)
Information Disclosure Statement and form 1449 with ten (10) references.
Preliminary Amendment
Return postcard.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

Joan E. Federici

(Typed or printed name of person making this verified statement)

Date August 30, 2001


(Signature of person making this verified statement)

(Verified Certification of Express Mailing Date (International Application) [13-12])

09914641-083001